

# **Vertically Autoscaling with Cassandra**

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*With thanks to: Anna Pavlenko (VASIM creator), GSL Team, German Eichberger*

# About me



I am a Principal Research SDE in [Gray Systems Lab \(GSL\)](https://www.microsoft.com/en-us/research/group/gray-systems-lab/) at Microsoft, an applied research lab within Azure Data.



Before Microsoft, I completed my PhD ~10 years ago, and worked as researcher on Telco/5G autoscaling



My main research focus is *optimizing cloud infrastructure for database and machine learning workloads* from a general perspective.



I hope that some of the techniques I mention are useful to you, and I am excited to learn more about Cassandra while at this conference!

# About me



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## Initial Project: Monolithic DB's on K8s

- Originally, our team was tasked with **optimizing deployments of monolithic databases** (ex: 1 primary, 2 secondaries, fixed) running on Kubernetes.
- We found that **many users were overprovisioned** in terms of CPU allocation, which is how we bill (#CPUs/hour)

• This began our **vertical scaling journey**.



# Vertically autoscaling with Cassandra

- Cassandra is famous for its linear scaling, seamlessly adds more nodes.
	- However, bringing up a new node (horizontal scaling) involves data movement and can take a significant amount of time
- Vertical scaling does not involve data movement.
	- It can provide an additional mechanism to right-size resources.
- There are many ways to run Cassandra (on VMs, on containers, on Kubernetes, K8ssandra, etc), and techniques are generally applicable.
	- We can often scale the cores in-place without restart

# Scenario: Vertically Scaling Generic DBs

- Example: a database runs as a set of Kubernetes Pods with *n* cores each.
	- Users are billed based on a max CPU limit they specify



• Kubernetes excels at HORIZONTAL pod autoscaling, but our database use case is a fixed number of replicas. But we can scale VERTICALLY!

# Generic Vertical Autoscaling (end-to-end)



# **Outline**

#### • Mechanism

- Vertically scaling in-place
- Cassandra perf impacts?
- Policy
	- CaaSPER: Proactive/Reactive algorithm for balancing price-perf trade-off
- Code/demo: VASIM Vertical Autoscaling SIMulator
	- Try your own autoscaling algorithm!
	- Autotuning: parameter tune your own algorithm
	- How to get started with Cassandra

# Mechanism: scaling in-place



#### Restarts hinder scaling nimbly in stateful workloads *(even with containers!)*

Rolling restart HA process (~10-15 min) makes scaling perf much worse than necessary due to delay.





*Rolling upgrade process*

With no restarts, we could minimize throttling and optimize scaling further and more safely by reacting faster



*No restart!*

# In-place/no-restart scaling of CPUs

- Docker: docker update some-cassandra --cpus or --cpu-quota
- K8s: In-place updates officially an alpha feature in the 1.27 [release](https://kubernetes.io/blog/2023/05/12/in-place-pod-resize-alpha/) (~April 2023) under the feature gate InPlacePodVerticalScaling
	- Changed simply by patching the running pod spec
	- Default behavior is "in-place" unless resizePolicy is set to RestartContainer

resizePolicy: - resourceName: memory restartPolicy: RestartContainer - resourceName: cpu restartPolicy: NotRequired

What is the perf impact if *the DB thinks it has n cores, but we actually give it m cores?*

- 3 replica SQL (**on Linux**) deployed on *32 core* K8s nodes
- Start scaling op every 200 seconds
	- Because the machine has 32 cores, SQL Server thinks it has 32 cores
	- Scale from  $2 \rightarrow 4 \rightarrow 6 \rightarrow 8 \rightarrow 10 \rightarrow 12 \rightarrow 16 \rightarrow 24$
	- Plot the average throughput during each segment
- 2 tests
	- Scaling only (i.e. SQL activates 32 SqlOS schedulers)
	- Coordination via affinity changes prior to scale



# Perf impact of restart-free core scaling with Cassandra?



- For this customer provisioned at 32 cores, we could scale down by ~20 cores each night
	- But what is impact to the locks/buffers/threads/etc if the DB thinks it has 32 cores but only has 12?



# Testing perf impact of restart-free core scaling

#### Quick experiment with Cassandra:

• Ran tried matched/mismatched on a customer's workload: *pleasantly boring.*



*calls/second diff: in the noise/nearly identical*



*calls/second diff: in the noise/nearly identical*



*calls/second diff: in the noise/nearly identical*

- Java's public int [availableProcessors\(\)](https://docs.oracle.com/en/java/javase/21/docs/api/java.base/java/lang/Runtime.html#availableProcessors()) apps must poll this explicitly
	- Cassandra doesn't, so we expected to see some mismatch due to threadpools, etc.
	- However: to use the new cores, Cassandra needs to be aware of the max cores at startup.
- Some JVM-weirdness related to List of Processors…*to be continued!*

### What about memory??

- Resizing memory without a restart is challenging, regardless of platform or environment (Python/C/JVM/etc).
- Memory resizing likely requires application changes.
- For now, restart/rolling-update when memory needs to be resized.



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## Vertical autoscaling: CaaSPER Algorithm

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#### **ABSTRACT**

Kubernetes has emerged as a prominent open-source platform for managing cloud applications, including stateful databases. These monolithic applications rely on vertical scaling, adjusting CPU cores based on load fluctuations. However, our analysis of Kubernetesbased Database-as-a-Service (DBaaS) offerings at Microsoft revealed that many customers consistently over-provision resources for peak workloads, neglecting cost-saving opportunities through resource scale-down. We found that there is a gap in the ability of existing vertical autoscaling tools to minimize resource slack and respond promptly to throttling, leading to increased costs and impacting crucial metrics such as throughput and availability.

To address this challenge, we propose CaaSPER, a vertical autoscaling algorithm that blends reactive and proactive strategies. By dynamically adjusting CPU resources, CaaSPER minimizes resource slack, maintains optimal CPU utilization, and reduces throttling. Importantly, customers have the flexibility to prioritize either cost savings or high performance based on their preferences. Extensive testing demonstrates that CaaSPER effectively reduces throttling and keeps CPU utilization within target levels. CaaSPER is designed to be application-agnostic and platform-agnostic, with potential for extension to other applications requiring vertical autoscaling.

#### 1 INTRODUCTION

Cloud computing [6, 27, 53] has transformed the landscape of application development, deployment, and management by providing organizations with access to on-demand resources and scalability. However, during provisioning, users are often required to specify the amount of resources they will initially require among a large number of cloud offerings (e.g., VM configuration and size). It is challenging to estimate resource requirements upfront, and the initial settings can become irrelevant with the dynamic nature of the workloads. One of the most common scaling approaches to address some of these issues has been horizontal autoscaling, in which additional service replicas are added and removed based on utilization, thus adjusting overall system resource usage in fixedsized quantities. Although this has worked for some services [59], this approach is not well suited for stateful monolithic systems

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(e.g., traditional RDBMS) that either have a fixed number of total instances (e.g., single writable primary) or cannot quickly scale horizontally due to size of data copy operations inherent to creational ing new replicas crucial, allowing expanding or contracting resources of existing replicas. Additional benefits to vertical scaling include simplicity, performance, and reliability [41].

Modern platforms such as Kubernetes (K8s) [45], which has become a popular platform choice for implementing Database-asa-Service (DBaaS) and other stateful service offerings [20, 39, 54, 66], facilitate vertical resource scaling. K8s provides two essential mechanisms, requests and limits, to define guaranteed and burstable CPU resource allocation for applications. At Microsoft and elsewhere, applications needing predictable performance, such as databases [24, 37], often set requests and limits to the same value [13, 40, 48] to ensure that the application will be scheduled on a node with enough resources to always provide the limits.<sup>1</sup> Despite resizing support, we find that users in our database offerings at Microsoft rarely scale their deployments and usually over-provision for the worst-case. In fact, during a sampling of firstparty DBaaS deployments, we found cases of CPU over-provisioning that exceeded peak load by a significant factor, up to 20x in certain workloads, resulting in under-utilized resources (i.e., increased costs for idle resources), as well as instances of under-provisioning, which leads to performance impacts due to "throttling" (i.e., when an application lacks enough resources to meet its load demands). To automate this process, the Vertical Pod Autoscaler (VPA) [33] in K8s can dynamically adjust the requests and limits values according to a pluggable algorithm. However, when tested, the default VPA algorithm and other existing approaches proved inadequate in our scenario for effectively addressing cases involving throttling detection and scaling down when over-provisioned. Additionally, these methods were oblivious of the billing model in use, leading to suboptimal cost-performance tradeoffs during scaling decisions, an important consideration for customers. Other recent works in K8s [73] leverage machine learning to support predictive autoscale. However, there is a significant drawback in purely relying on a machine learning algorithm in predictive autoscaling especially using time-series forecasting, as it lacks the ability to effectively detect throttling and instead assumes that future usage will remain consistent until retrained. Moreover, for throttled workloads, the usage forecast does not align with the true amount of resources required for the workload, leading to under-estimated limits (see §3.3). When aiming for optimal performance, there is a strong need to quickly identify and respond to throttling to meet SLA objectives.

<sup>1</sup>Note that service level agreements (SLAs) provided by DBaa5 further emphasize the importance of predictability and may have penalties for violations [57, 58]



### Why not K8s built-in Vertical Pod Autoscaler (VPA)?

- For billing, we scale only at whole-cores, with limits=requests
	- In Kubernetes, requests and limits define guaranteed and burstable CPU resource allocation for applications. Setting these equal 'breaks' VPA.
- Must consider customer preferences when scaling
	- Most existing VPA tools are for optimal scheduling, not cost-perf preferences

24h

36h

14

12







## Reactive CaaSPER

#### Doppler (prior work) provides initial SKU (#cores/#mem) selection *offline* for SQL Server based on personalized price-perf curve

• We adapted this price-perf curve for our container scenario by monitoring the change in slope over time, instead of focusing on a static price-perf curve for migration



*Doppler: Automated SKU Recommendation in Migrating SQL Workloads to the Cloud. PVLDB 15, 12 (2022).*

### Reactive CaaSPER

#### Steepness of price-perf curve determines how MUCH to scale

Scaling  $Factor(s) = log(bs + c)$ 

- $\bullet$  s: Slope of the PP curve at the existing number of cores
- $\bullet$  b: Skew estimate of the distribution of existing slopes
- $\bullet$  c: Minimum number of cores needed to operate



Figure 6: Example shape of scaling-factor function  $SF(s)$  of PvP-curve slope s. Scale-ups happen more aggressively for large s (more throttling), than small s (less throttling).



## Proactive:

*Real cyclical workload + Time series*

• Started by looking at simulated + real workload CPU traces



- Experimented with many different algorithms and data preprocessing for prediction and measured throttling/fit/etc
- Naïve worked well for most of our scenarios, but can easily swap out
- Trade-off: complexity/robustness+debuggability



Red - CPU limit setting Blue - actual CPU usage

## CaaSPER parameters

Users can specify preferences on a slider, or we can autotune in our simulator (next):



#### Impact of our parameters:



# At this point: panicking.

- We had a paper deadline. We built an awesome algorithm, but tuning the 20+ parameters was challenging
- We needed to demonstrate our autoscaling algorithm for about 30 7-day long experiments to run, but we only had 3 functioning K8s clusters, and 10 days.
- Enter: VASIM

Algorithm 1 CaaSPER autoscaling decision algorithm.

**Require:**  $x_c$ : CoreCount<sub>cur</sub> **Require:**  $\{X_t\}$ : Vector of workload CPU usage indexed by time (observed and/or predicted) Require: R: System inputs (e.g., resource limit such as max CPU, price per core, granularity per core) **Require:**  $s_h$ : High slope threshold **Require:**  $s_l$ : Low slope threshold **Require:**  $m_h$ : High slack threshold as percentage of capacity **Require:**  $m_l$ : Low slack threshold as percentage of capacity **Require:**  $SF<sub>h</sub>$ : Maximum single step scale-up amount **Require:** SF<sub>I</sub>: Maximum single step scale-down amount **Require:**  $c_{min}$ : Minimum resource requirements (scale-down lower bound) 1: function AUTOSCALE $(x_c, \{X_t\})$ normalized cpu  $\leftarrow$  PREPROCESS CPU( $\{X_t\}$ )  $2:$  $PvP$  curve  $\leftarrow$  SKU RECOMMENDATION TOOL(normalized CPU, R) 31.  $PvP$  slopes  $\leftarrow$  CALCULATE SLOPES ( $PvP$  curve) 45. skew  $\leftarrow$  CALCULATE SKEW (PvP slopes) 통신  $s \leftarrow$  GET CURRENT SLOPE (PvP slopes,  $x_c$ ) 6:  $SF \leftarrow$  CALCULATE SCALING FACTOR,  $SF(s, skew)$  $7:$ if  $s \geq s_h$  or Quantile({ $X_t$ })  $\geq (1 - m_h) * x_c$  then 8:1  $SF \leftarrow min(SF, SF_A)$ 9: else if  $s \leq s_l$  or Quantile( $\{X_t\}$ )  $\leq m_l * x_c$  then 10:  $SF \leftarrow max (-SF, -SF)$  $11:$ else if  $s == 0$  and  $x_c$  at top of PvP curve then  $12:$ SF  $\leftarrow$  UPDATE SCALING FACTOR (PvP curve,  $x_c$ ) 13:  $SF \leftarrow$  Apply GUARDRAILS (SF, SF<sub>h</sub>, SF<sub>1</sub>, c<sub>min</sub>, R) 14: return SF  $15:$ 

## VASIM: Vertical Autoscaling Simulator

VASIM replicates common components found in autoscaler architectures and replays CPU traces (real and estimated) with tunable parameters



*In IEEE International Conference on Data Engineering (ICDE 2024).*

### VASIM: Vertical Autoscaling Simulator

#### You need 3 things: CPU Data, Autoscaling Algo, Parameters

TIMESTAMP, CPU USAGE ACTUAL 2023.04.02-00:09:00:000.7.2 2023.04.02-00:10:00:000,7.04 2023.04.02-00:11:00:000,6.88 2023.04.02-00:12:00:000,6.72 2023.04.02-00:13:00:000.6.48 2023.04.02-00:14:00:000,6.50 2023.04.02-00:15:00:000,6.52 2023.04.02-00:16:00:000,6.54 2023.04.02-00:17:00:000,6.56



# Simulating & Tuning parameters

When selecting parameters, we must find the ideal balance between:

- slack (resources wasted)
- insufficient CPU (throttling)



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# (Go to GitHub…)

#### <https://github.com/microsoft/vasim>





## VASIM web demo

• Our [notebook](https://github.com/microsoft/vasim/blob/main/examples/using_vasim.ipynb) 

[https://github.com/microsoft/vasim/blob/main/examples/using\\_vasim.ipynb](https://github.com/microsoft/vasim/blob/main/examples/using_vasim.ipynb)

• Together with Cassandra

<https://github.com/microsoft/vasim/tree/kasaur/e2e-livedemo/examples/cassandra>

• And the web front-end

<https://github.com/microsoft/vasim/tree/main/examples/streamlit>

## References

- Code repo:<https://github.com/microsoft/vasim>
	- Simulator demo: examples -> streamlit
	- Cassandra demo: examples -> cassandra
- Papers:
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